Chang-Tae Kim

718936.4

COMPLETE IF KNOWN

PTO/SB/01 (09-04)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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First Named Inventor

Number

**DECLARATION FOR UTILITY OR** 

**DESIGN** PATENT APPLICATION

(37 CFR 1.	63)	Application Number	Not yet kno	wn
Declaration	Declaration	Filing Date	Herewith	
Submitted OR With Initial	Submitted after Initial Filing (surcharge)	Art Unit	Unknown	
Filing	(37 CFR 1.16 (e)) required)	Examiner Name	Unknown	
-	requiredy			
I hereby declare that:				
Each inventor's residence, mailing	g address, and citizenship	are as stated below next t	o their name.	
I believe the inventor(s) named be		d first inventor(s) of the sub	ject matter which	is claimed and for
which a patent is sought on the in	vention entitled:			
III-NITRIDE COMPOUND SEM	ICONDUCTOR LIGHT E	MITTING DEVICE		
the specification of which		(Title of the Invention)		
is attached hereto				
OR				
was filed on (MM/DD/YYYY)	07/02/2004	as United States Applicati	on Number or PC	T International
Application Number PCT/KR04	3/001625 and was ame	ended on (MM/DD/YYYY)		(if applicable).
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.				
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-Inpart applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.				
I hereby claim foreign priority ben				
plant breeder's rights certificate(s the United States of America, lis	ted below and have also	identified below, by check	ing the box, any	foreign application for patent,
inventor's or plant breeder's rights on which priority is claimed.	s certificate(s), or any PC	T international application I	naving a filing date	
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
PCT/KR2004/001625	wo	07/02/2004		
10-2003-0045059	KR	07/03/2003		
10-2003-0055907	KR	08/12/2003		
10-2003-0070758	KR	10/10/2003		
Additional foreign application	n numbers are listed on a		sheet PTO/SB/02	2/B attached hereto.
		[Page 1 of 3]		

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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## **DECLARATION – Utility or Design Patent Application**

Direct all The address associated with Customer Number	er:	27128			OR [	Correspondence address below
Name						
Blackwell Sanders Peper Martin, LLP						
Address	· · · · · ·	<del></del>				
720 Olive Street, Suite 2400						
City			Stat	е	-	ZIP
St. Louis			М	)		63101
Country	Telep	phone				Fax
US_	314	-345-6000				314-345-6060
I hereby declare that all statements made herein of are believed to be true; and further that these state made are punishable by fine or imprisonment, or bo validity of the application or any patent issued there	ments oth, un	were made with	the k	nowledge tha	t willful false	statements and the like so
NAME OF SOLE OR FIRST INVENTOR:	$I\Gamma$	A petition ha	s beer	n filed for this	unsigned in	ventor
Given Name (first and middle [if any])	-			ily Name Or		
Chang-Tae			Kim	1		
Inventor's Signature	_					Date
Chang Tac Kom						12/21/mt
Residence: Ofty		State	-	Country		Citizenship
Seongnam-si	- 1	Kyunggi-do	,	KR		KR
Mailing Address						
106-301 Dongbu Apt. Yatap-dong, Bundan	g-qu					
City		State		ZIP		Country
Seongnam-si	l	Kyunggi-do		463-070		KR
NAME OF SECOND INVENTOR:	TË	7		filed for this	iened in	.ente-
Given Name (first and middle [if any])		_ A petition has		ily Name Or S		ventor
Keuk				,		
Inventor's Signature			Kim			Date
k hin						12.21. 2003
Residence: City		State		Country		Citizenship
Seongnam-si		Kyunggi-do		KR		KR
Mailing Address		··		·		
202,106-9,Bundang-dong, Bundang-gu	l					
City	T	State	•	ZIP	<del></del>	Country
Seongnam-si		Kyunggi-do		463-832		KR
Additional inventors or a legal representative are bei	ing nam	ed on thes	upplen	nental sheet(s)	PTO/SB/02A	or 02LR attached hereto.

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ADDITIONAL INVENTOR(S)

DECLARATION			emental Sheet				
				Page <u>3</u> of <u>3</u>			
Name of Additional Joint Inventor, if any:	Г	7 A pe	tition has been filed for	this unsigned inventor			
Given Name (first and middle [if any	<u>I</u> /])	$\overline{}$	/ Name or Surname	uno unoigrica invento.			
Soo-Kun		Jeon					
Inventor's Alfw Signature				Date /2.17. 7005			
Residence: Kunsan-si	State Jeollab	ık-do	Country KR	Citizenship KR			
Mailing Address 544-18, Kyungam-dong			T	Γ			
City Kunsan-si	State Jeollabul	κ-do	ZIP 573-320	Country KR			
Name of Additional Joint Inventor, if any:		A pet	tition has been filed for	been filed for this unsigned inventor			
Given Name (first and middle [if any	])		Family Na	me or Surname			
Pil-Guk		Jang					
Inventor's pt 3 arf				Date 12,21,200 \$			
Residence: City Yongin-si	State Kyun	ggi-do	Country KR	Citizenship KR			
Mailing Address 101-802, Samik Apt., Punge	deokcheon-ri, Si	ıji-eup					
City Yongin-si	State Kyung	igi-do	ZIP 449-846	Country KR			
Name of Additional Joint Inventor, if any:		7	ition has been filed for				
Given Name (first and middle [if any	))	Family Name or Surname					
Jong-Won			Kim				
Inventor's Wkim				Date /2,2/, 2005			
Residence: City Kwangju-si State Kyung			Country KR	Citizenship KR			
Mailing Address 146-2, Neungpyeong-ri, Op-	o-eup						
City Kwanziwal	St. 15	-1 -1 -	710 404 000	Country KD			

City Kwangju-si

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## POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM

Application Number	Not yet known
Filing Date	Herewith
First Named Inventor	Chang-Tae Kim
Title	III-NITRIDE COMPOUND SEMICONDUCTOR LIGHT EMITTING DEVICE
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	718936.4

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	OR			Г				7		
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	OR			<u> </u>				_		
	Firm or Individual Name Blackwell Sanders Peper Martin, LLP									
Α	Address 720 Olive Street Suite 2400									
			720 Olive Street, Suite 24	<del>,</del> 00						
	City		St. Louis			State	МО	Zip	63101	
	Country	!	US	<del></del>						
	elephone		314-345-6000			Fax	314-3	45-6060		
l am th	ne: Applicant/Inven	ntor.								
$\boxtimes$			f the entire interest. See 37 CFR							
	Statement unde	er 37 C	CFR 3.73(b) is enclosed. (Form F	PTO/SB/96)						
	SIGNATURE of Applicant or Assignee of Record									
Signatu	ure		Toongolie Pa	h		Date			2005	
Name		700	ong-Seó Park			Telephone	8	32-31-767-0	300	
Title an	nd Company		Executive Director of,		Epi	ivalley Co.,	Ltd.			
NOTE: forms in	Signatures of all findings of all findings of all findings of the state of the stat	ll the ir signat	nventors or assignees of record of ture is required, see below*.	of the entire in	nterest or their	representative	e(s) are r	required. Subn	nit multiple	
$\boxtimes$	*Total of <u>2</u> !	forms	are submitted.		· · · · ·					

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STLD01-1165886-1



## IAP20 ROC'S FETETTO 29 DEC 2005

PTO/SB/96 (09-04)
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STATEMENT U	NDER 37 CFR 3.73(b)
Applicant/Patent Owner: Chang-Tae Kim, et al.	
Application No./Patent No.: Unknown	Filed/Issue Date: Herewith
Entitled: III-NITRIDE COMPOUND SEMICONDUCTOR LIGHT	T EMITTING DEVICE
EPIVALLEY Co., Ltd. , a (Name of Assignee)	corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:  1.  the assignee of the entire right, title, and interest; or	
2. an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is 5	
in the patent application/patent identified above by virtue of eith	her:
<ul> <li>A. An assignment from the inventor(s) of the patent application in the United States Patent and Trademark Office at Red OR</li> <li>B. A chain of title from the inventor(s), of the patent application.</li> </ul>	ation/patent identified above. The assignment was recorded eel, Frame, or for which a copy thereof is attached.  plication/patent identified above, to the current assignee as shown
1. From:	To:
From:  The document was recorded in the United States Parame, or for which a copy there  Reel, Frame, or for which a copy there	
2. From:	_ To:
The document was recorded in the United States Pa Reel, Frame, or for which a copy there	atent and Trademark Office at
3. From:	_ To:
The document was recorded in the United States Pa Reel, Frame, or for which a copy there	atent and Trademark-Office at eof is attached.
Additional documents in the chain of title are listed on	າ a supplemental sheet.
Copies of assignments or other documents in the chain of to [NOTE: A separate copy (i.e., the original assignment of must be submitted to Assignment Division in accordance recorded in the records of the USPTO. See MPEP 302.	document or a true copy of the original document) ce with 37 CFR Part 3, if the assignment is to be
The undersigned (whose title is supplied below) is authorized	to act on behalf of the assignee.
12-21-2005	Joong-Seo Park
Date	Typed or printed name
82-31-767-0300	Toongrese Par
Telephone number	Signature
Executive Director	
Title	

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ALD 581-40

## ASSIGNMENT

PATENT 718936.4

WHEREAS, Chang-Tae Kim, a resident of Seongnam-si, Kyunggi-do, Republic of Korea; Keuk Kim, a resident of Seongnam-si, Kyunggi-do, Republic of Korea; Soo-Kun Jeon, a resident of Gunsan-si, Jeollabuk-do, Republic of Korea; Pil-Guk Jang, a resident of Yongin-si, , Kyunggi-do, Republic of Korea; Jong-Won Kim, a resident of Kwangjy-si, Kyunggi-do, Republic of Korea(hereinafter referred to as "Assignors") are the inventors of a certain new and useful invention relating to an III-NITRIDE COMPOUND SEMICONDUCTOR LIGHT EMITTING DEVICE for which international application has been made as PCT/KR2004/001625 that was filed on July 2, 2004, and for which an application for Letters Patent is about to be made in the United States; and

WHEREAS, Assignors is the owners and in full possession of the entire right, title and interest in and to said invention and in and to any and all Letters Patent that may be granted therefor; and

WHEREAS, EPIVALLEY Co., Ltd., a corporation with its principal place of business at 51-2 Neungpyeong-ri, Opo-eup, Kwangju-si, Kyunggi-do 464-892, Republic of Korea (hereinafter referred to as "Assignee"), is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent that may be granted therefor; and

NOW, THEREFORE, for and in consideration of the sum of One Dollar (U.S. \$1.00) and other valuable considerations to Assignor paid by said Assignees, receipt of which is hereby acknowledged, Assignor does hereby sell, assign and transfer unto EPIVALLEY Co., Ltd. an owner of the entire right, title and interest in and to said invention and in and to any and all

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CORY

Letters Patent, both United States and foreign, that may be granted therefor, together with any and all continuations, divisions, or reissues, and Assignor does hereby authorize and request the United States Commissioner of Patents and Trademarks to issue in accordance with this Assignment any and all Letters Patent that may be granted, either on said application or otherwise for said invention.

IN WITNESS WHEREOF, Assignor has hereunto set his hand and seal.

Dated: 12/11/2015	Chang-Tacklim  Chang-Tacklim
STATE OF	)
COUNTY OF	) ss. )
and for the County and State	, 2005, before me, the undersigned, a Notary Public within e aforesaid, personally appeared Chang- Tae Kim to me known to be who executed the foregoing instrument, and acknowledged that he e act and deed.
	I have hereunto attached my hand and notarial seal, at the County ay and year last above written.
	Notary Public
My Commission Expires:	

0094

IN WITNESS WHEREOF, Assignor has hereunto set his hand and seal.

COPY

Dated: /2.2/_	2003	Keuk Kim	2
STATE OF	)		
COUNTY OF	) ss. )		
and for the County person described i	y and State aforesaid, pe	ersonally appeared Keuk Ki foregoing instrument, and	
	Whereof, I have hereund on the day and year last	nto attached my hand and rest above written.	notarial seal, at the County
		Notary Public	
My Commission F	ivnirac;		

(10PM

IN WITNESS WHEREOF, Assignor has hereunto set his hand and seal.

COPY

Dated: 12, 22. 70.05	Soo-Kun Jeon
STATE OF	)
COUNTY OF	) ss. )
and for the County and Sta	, 2005, before me, the undersigned, a Notary Public within ate aforesaid, personally appeared Soo-Kun Jeon to me known to be the ho executed the foregoing instrument, and acknowledged that he ree act and deed.
	of, I have hereunto attached my hand and notarial seal, at the County day and year last above written.
	Notary Public
My Commission Expires:	

0094



IN WITNESS WHEREOF, Assignor has hereunto set his hand and seal.

Dated: <u>/ レ, ン/</u> ,	2005	Pil-Guk Jang
STATE OF	)	
COUNTY OF	) ss. )	
and for the County a	and State aforesaid and who executed	, 2005, before me, the undersigned, a Notary Public within d, personally appeared Pil-Guk Jang to me known to be the d the foregoing instrument, and acknowledged that he deed.
		ereunto attached my hand and notarial seal, at the County ar last above written.
		Notary Public
My Commission Ex	pires:	



COPY

IN WITNESS WHEREOF, Assignor has hereunto set his hand and seal.

Dated: 12.21.3	1065	Jong-Won Kim
STATE OF	)	•
COUNTY OF	) ss. )	
and for the County the person describ	y and State aforesa	_, 2005, before me, the undersigned, a Notary Public within id, personally appeared Jong-Won Kim to me known to be cuted the foregoing instrument, and acknowledged that he I deed.
		nereunto attached my hand and notarial seal, at the County ear last above written.
		Notary Public
My Commission E	Expires:	

North